

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))*

Attorney Docket No. 015358-007200US

*First Inventor* Hull, Jonathan J.

## TECHNIQUES FOR RETRIEVING MULTIMEDIA INFORMATION USING A PAPER-BASED INTERFACE

Express Mail Label No. EL 265 818 868 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

<input type="checkbox"/>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		
2. <input type="checkbox"/>	Applicant claims small entity status. See 37 CFR 1.27.		
3. <input checked="" type="checkbox"/>	Specification (preferred arrangement set forth below)	[Total Pages	78 <input type="text"/>
	- Descriptive title of the Invention (Title Page)		
	- Cross References to Related Applications		
	- Statement Regarding Fed sponsored R & D		
	- Reference to sequence listing, a table, or a computer program listing appendix		
	- Background of the Invention		
	- Brief Summary of the Invention		
	- Brief Description of the Drawings ( if filed)		
	- Detailed Description		
	- Claim(s)		
	- Abstract of the Disclosure		
4. <input checked="" type="checkbox"/>	Drawing(s) (35 U.S.C.113)	[Total Sheets	34 <input type="text"/>
5. Declaration and Power of Attorney	[Total Pages	2 <input type="text"/>	]
a. <input checked="" type="checkbox"/>	Newly unexecuted (original or copy)		
b. <input type="checkbox"/>	Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)		
i. <input type="checkbox"/>	<b>DELETION OF INVENTOR(S)</b>		
	Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		
6. <input checked="" type="checkbox"/>	Application Data Sheet. See 37 CFR 1.76		
7. <input type="checkbox"/>	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)			
a. <input type="checkbox"/>	Computer Readable Form (CRF)		
b. Specification Sequence Listing on:			
i. <input type="checkbox"/>	CD-ROM or CD-R (2 copies); or		
ii. <input type="checkbox"/>	paper number of pages		
c. <input type="checkbox"/>	Statements verifying identity of above copies		
<b>ACCOMPANYING APPLICATIONS PARTS</b>			
9. <input type="checkbox"/>	Assignment Papers (cover sheet & document(s))		
10. <input type="checkbox"/>	37 C.F.R. §3.73(b)Statement	<input type="checkbox"/>	Power of Attorney (when there is an assignee)
11. <input type="checkbox"/>	English Translation Document (if applicable)		
12. <input type="checkbox"/>	Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/>	Copies of IDS Citations
13. <input type="checkbox"/>	Preliminary Amendment		
14. <input checked="" type="checkbox"/>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)		
15. <input type="checkbox"/>	Certified Copy of Priority Document(s) (if foreign priority is claimed)		
16. <input checked="" type="checkbox"/>	Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent		
17. <input type="checkbox"/>	Other:		

**Do not charge the application fee at this time**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of prior application No: \_\_\_\_\_  
*Prior application information:* \_\_\_\_\_      *Examiner* \_\_\_\_\_      *Group Art Unit:* \_\_\_\_\_

For **CONTINUATION or DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label : 20350 or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name					
Address					
City		State		Zip Code	
Country		Telephone		Fax	

Name (Print/Type)	Sujit B. Kotwal	Registration No. (Attorney/Agent)	43,336
Signature	<u>S. B. Kotwal</u>		Date
			November 19, 2001

"Express Mail" Label No.: EL 265 818 868 US Date of Deposit: November 19, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

By: S. B. Kobwal

I hereby certify that this correspondence is being deposited with the United States Postal Service as registered first class mail in an envelope addressed to:

PATENT  
Attorney Docket No.: 015358-007200US  
Client Reference No.: ID-RSV-263C

BOX FILING DATE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

On November 21, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: S. B. Kotwal

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

JONATHAN J. HULL et al.

Application No.: Unassigned

Attempted Filing Date: November 19, 2001

For: TECHNIQUES FOR RETRIEVING MULTIMEDIA INFORMATION USING A PAPER-BASED INTERFACE

Examiner: Unassigned

Art Unit: Unassigned

STATEMENT UNDER 37 CFR § 1.6(e)  
THAT USPS REFUSED TO ACCEPT  
EXPRESS MAIL CORRESPONDENCE

Box Filing Date  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

My name is Sujit B. Kotwal, registration number 43,336. I am a patent attorney working at Townsend and Townsend and Crew LLP.

This statement is being mailed as registered first class mail along with a sealed Express Mail envelope having label “EL 265 818 868 US” addressed to Box Patent Application, Assistant Commissioner of Patents & Trademarks, Washington, D.C., 20231-0001. This Express Mail envelope contains a patent application titled

*Receipt date granted  
is 11/19/01.  
S. Kotwal*

**"Techniques For Retrieving Multimedia Information Using A Paper-Based Interface" by Jonathan J. Hull et al. (Attorney Docket Number 015358-007200US).**

On November 19, 2001, I arrived at the Hamilton Street, Palo Alto, CA, post office at approximately 4:30 PM with the intention of depositing the enclosed Express Mail envelope (having label "EL 265 818 868 US") with the United States Postal Service under 37 CFR § 1.10.

The postal clerk at the Palo Alto post office refused to accept this Express Mail envelope, because on Friday, November 16, 2001, Patrick R. Donahoe, Chief Operating Officer and Executive Vice President of the United States Postal Service (USPS) issued a memorandum temporarily and immediately suspending Express Mail service to Washington, D.C., ZIP Codes 202xx through 205xx (e.g., 20231). The United States Patent and Trademark Office has designated this interruption in the Express Mail service of the USPS as a postal service interruption within the meaning of 35 USC § 21(a) and 37 CFR § 1.6(e).

I submit that the above identified Express Mail correspondence would have been filed on November 19, 2001, if it were not for the designated interruption or emergency in the United States Postal Service. Accordingly, I request under the provisions of 37 CFR § 1.6(e) that the enclosed application (Attorney Docket Number 015358-007200US) be granted a filing date of November 19, 2001.

If I can be of further assistance, please call me at 650-326-2400.

Respectfully submitted,

*S. B. Kotwal*

Sujit, B. Kotwal  
Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
Tel: (650) 326-2400  
Fax: (650) 326-2422  
SBK  
PA 3185668 v1

**NONPUBLICATION REQUEST  
CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Hull, Jonathan J.
Title	TECHNIQUES FOR RETRIEVING MULTIMEDIA INFORMATION USING A PAPER-BASED INTERFACE
Atty Docket Number	015358-007200US

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 19, 2001

Date

S. B. Kotwal

Signature

Sujit B. Kotwal, Reg. No. 43,336

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3184575 v1